

## REGISTRATION DOCUMENT (*REGISTRATIEDOCUMENT*)

### Unofficial translation

#### AEGON INVESTMENT MANAGEMENT B.V.

#### Registration document as referred to in Article 4:48 of the Dutch Act on Financial Supervision

This registration document is dated 1 January 2019 and is subject to Article 117 and Annex H of the Decree conduct of business supervision financial undertakings FMSA (*Besluit gedragstoezicht financiële ondernemingen Wft*).

#### Provisions in Annex H, Bgfo

1. Details on the Fund Manager's Activities
  - 1.1 The Fund Manager manages investment funds (*beleggingsfondsen*). The Fund Manager also advises and provides services in relation to investment management and other management activities and invests in monies and other financial instruments.
  - 1.2 The Fund Manager manages investment funds to which the provisions of the Act do or do not apply, as the case may be. To professional investors the Fund Manager provides Funds by way of transparent mutual funds.

The Fund Manager also manages UCITS Funds from which units can be offered in the Netherlands and other European countries.

These are the following Funds:

- Aegon Global Multi Manager EMU Government Bond Fund
- Aegon Global Multi Manager Credit Fund
- Aegon Global Multi Manager World Equity Index Fund
- Aegon Global Multi Manager World Equity Index Fund – EUR
- Aegon Global Multi Manager European Equity Fund
- Aegon Global Multi Manager European Equity Fund - EUR
- Aegon Global Multi Manager Emerging Markets Fund
- Aegon Global Multi Manager Fixed Income Extra Long Fund
- Aegon Global Multi Manager Emerging Market Debt Fund
- Aegon Global Multi Manager Emerging Market Debt Fund - USD
- Aegon Global Multi Manager High Yield Fund
- Aegon Global Multi Manager High Yield Fund - Unhedged

2. **Details of Persons Who (Co)Determine the Policies of the Fund Manager and the Depositary or are Part of a Supervisory Board of the Fund Manager or the Depositary**

2.1 The daily management of the Fund Manager (Aegon Investment Management B.V.) is determined by its board of directors (*bestuur*) formed by:

B. Bakker

G. Black

O. van den Heuvel

C. Luning

E. van der Maarel

W. Peters

R. Santokhi

P. Smith

The daily management of the Depositary (Citibank Europe Plc Netherlands Branch) is determined by its board of directors formed by:

Auke Hendrik Leenstra (Citi Country Officer)

Pim Nederpel (Head Securities Fund Services)

Jan Olov Nord (Head Depositary Services)

2.2 The directors of the Fund Manager can have Board positions in other entities of Aegon Group.

3. **GENERAL DETAILS OF THE FUND MANAGER AND THE DEPOSITARY**

3.1 Name Fund Manager : Aegon Investment Management B.V.

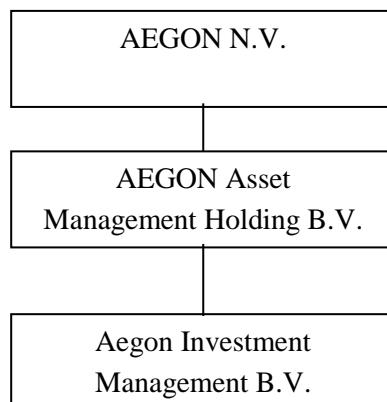
Legal form : private company with limited liability (*besloten vennootschap met beperkte aansprakelijkheid*)

Corporate Seat : The Hague

Date of incorporation : 6 November 1968

3.2 Registered with the Chamber of Commerce in The Hague , The Netherlands under number 27075825

3.3



The Fund Manager is fully owned by AEGON Asset Management Holding B.V and part of the AEGON Group. AEGON Group offers financial protection and equity management with a wide range of products and services such as life insurance, pensions, mortgages, savings and investment products and indemnity and income insurance.

The daily policy of AEGON Asset Management Holding B.V. is prescribed by its management, consisting of:

- S.A.C. Russell
- A.H. Maatman
- J.P.L. Daniels

The daily policy of AEGON N.V. is prescribed by its management, consisting of:

- A.R. Wynaendts
- M.J. Ryder

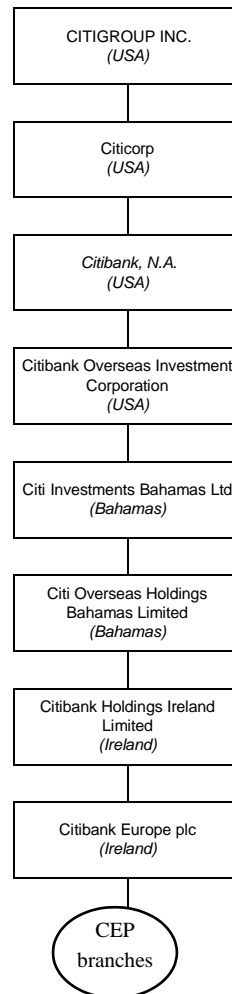
3.4 Name Depository : Citibank Europe Plc Netherlands Branch

Legal Form : Plc

Corporate Seat : Ireland

Date of incorporation : 9 June 1988

3.5 Registered with the Companies Registration Office in Ireland under number 132781



The Depositary is fully owned by Citi Holdings Ireland Ltd and part of Citigroup Inc. The daily policymakers of Citibank Europe Plc are: Susan Dean, Zdenek Turek , Barry O’Leary, Bo J. Hammerich, Breffni Byrne, Cecilia Ronan, Deepak Jain, Ebru Pakcan, Jeanne Short, Jim Farrell.

#### 4. **Financial Details Fund Manager and Depositary**

- 4.1 Reference is made to the 2017 annual financial statements of the Fund Manager and the auditor's report on the financial statements. The financial statements form an integral part of this registration document and are available on the website of the Fund Manager.

The own funds of the Fund Manager amount to at least EUR 125,000 plus two-hundredth of a percent of the amount by which the value of the assets exceeds the amount of € 250 million.

Reference is made to the 2017 financial statements of the Depositary and the auditor's report on the financial statements. The financial statements form an integral part of this registration document and are available on the website of the Depositary.

The own funds of the Depositary amounts to at least EUR 125,000.

5. **Details on Provision of Information**

5.1 The Fund Manager will periodically issue information based on the semi-annual and annual financial statements of the Fund Manager and the Depositary.

5.2 Date of semi-annual financial statements : 30 June

Date of annual financial statements : 31 December

5.3 **Fund Manager**

The articles of association, the financial statements, annual reports and semi-annual financial statements are available on the website of the Fund Manager and are available free of charge for investors at the address of the Fund Manager: Aegonplein 50, 2591 TV The Hague.

**Depositary**

The articles of association, annual reports and annual financial statements of Citibank Europe Plc Netherlands Branch are available on the website of the Fund Manager and are available free of charge for investors at the address of the Fund Manager; Aegonplein 50, 2591 TV The Hague.

6. **Details on the Replacement of the Fund Manager or the Depositary**

6.1 A meeting of participants shall be held within four weeks after it has become clear that the Fund Manager wishes or is required to terminate its function as Fund Manager, for the purpose of appointing a successor Fund Manager. The replacement shall be notified to all Participants and the AFM. The Fund Manager is obliged to continue to exercise their functions until the new Fund Manager commences its function. If a successor Fund Manager has not been appointed within ten weeks after the Fund Manager has indicated in writing that it wishes or is required to terminate its function, the Fund shall be terminated and liquidated unless the meeting of Participants decides to extend this term.

After it has become clear that the Depositary wishes or is required to terminate its function as Depositary, The Fund Manager shall appoint a successor Depositary. The replacement shall be notified to all Participants and the AFM. The Depositary is obliged to continue to exercise their functions until the new Depositary commences its function. If a successor Depositary has not been appointed within ten weeks after the Depositary has indicated in writing that it wishes or is required to terminate its function, the Fund shall be terminated and liquidated unless the meeting of Participants decides to extend this term.

6.2 A statement that a request for withdrawal of the Fund Manager's licence as referred to in Article 1:104 FMSA has been filed with the AFM, will be notified to the address of each Participant and on the Fund Manager's website.

We declare that this registration documents has been prepared truthfully.

Place : The Hague

Date : 29 March 2019

Name of Director of Fund Manager

Signature of director of Fund Manager

O. van den Heuvel

R.Santokhi