

# Responsible Investing Treaties, Standards and Initiatives

Aegon Nederland N.V.

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## 1. Reading guide

This document is part of a framework of documents that collectively form the Responsible Investing Policy of Aegon Netherlands N.V.

The following table provides an overview of the different documents that are part of our Responsible Investing Policy.

| Link to document  | Document name  | Description  |
|---|--|--|
| <br><a href="#">EN</a>   <a href="#">NL</a>  | Responsible Investing Policy Principles  | This document is the overarching document which describes our approach to responsible investing and outlines the different tools within our responsible investing framework.   |
| <br><a href="#">EN</a>   <a href="#">NL</a> | Responsible Investing Topics   | This document provides detailed information on how the different tools within our responsible investing framework are applied on a per-topic basis (e.g. climate change). This includes details of our screening, engagement and exclusion criteria. |
| <br><a href="#">EN</a>                     | Responsible Investing Exclusion List   | This document provides an overview of countries and companies we have excluded from our investible universe. This document is updated regularly when any additional exclusions are decided upon.   |
| <br><a href="#">EN</a>                     | Responsible Investing Treaties, Standards and Initiatives <b>(This document)</b> | This document provides an overview of all relevant treaties, standards and initiatives that we adhere to or support as part of our Responsible Investing Policy. This document is updated regularly.   |
| <br><a href="#">EN</a>                     | Voting Policy Aegon Aegon Nederland N.V.   | This document describes how we make use of the voting rights associated with our investments in listed companies.  |

**I. Relevant treaties, standards and initiatives**

In addition to the standards and initiatives that Aegon NV and Aegon Asset Management follow, have signed and/or a member of, there are a number of additional standards and initiatives that are relevant for Aegon NL.

A full breakdown of all relevant treaties, standards and initiatives, including to which entity they apply, is set out in the following table.

|   | The Netherlands | Aegon N.V. | Aegon Asset Management | Aegon Nederland |
|---|-----------------|------------|------------------------|-----------------|
| <b>Benchmarks</b>   |                 |            |                        |                 |
| Carbon Disclosure Project (Aegon NV is an investor member)                              |                 |            |                        |                 |
| Global Real Estate Sustainability Benchmark (Aegon Asset Management is a member)        |                 |            |                        |                 |
| <b>International treaties, acts and conventions</b>                                     |                 |            |                        |                 |
| Arms Trade Treaty   |                 |            |                        |                 |
| Basel Convention  |                 |            |                        |                 |
| Biological Weapons Convention   |                 |            |                        |                 |
| Chemical Weapons Convention   |                 |            |                        |                 |
| Convention on Biological Diversity  |                 |            |                        |                 |
| Convention on Cluster Munitions   |                 |            |                        |                 |
| Convention on International Trade in Endangered Species of Wild Flora and Fauna (CITES) |                 |            |                        |                 |
| European Union Common Position on Arm Export Controls (2008/944/CSFP)                   |                 |            |                        |                 |
| Indian Prevention of Cruelty to Animals Act   |                 |            |                        |                 |
| International Covenant on Civil and Political Rights                                    |                 |            |                        |                 |
| International Covenant on Economic, Social and Cultural Rights                          |                 |            |                        |                 |
| Montreal Protocol   |                 |            |                        |                 |
| Ottawa Treaty   |                 |            |                        |                 |
| Paris Agreement   |                 |            |                        |                 |
| REACH (Registration, Evaluation, Authorisation and Restriction of Chemicals)            |                 |            |                        |                 |
| Rio Declaration   |                 |            |                        |                 |
| Ramsar Convention on Wetlands of International Importance                               |                 |            |                        |                 |
| Rotterdam Convention  |                 |            |                        |                 |
| Stockholm Convention  |                 |            |                        |                 |
| Treaty on the Non-Proliferation of Nuclear Weapons                                      |                 |            |                        |                 |
| Treaty on the Prohibition of Nuclear Weapons  |                 |            |                        |                 |
| United Nations Convention Against Corruption  |                 |            |                        |                 |
| United Nations Declaration of Human Rights  |                 |            |                        |                 |
| World Health Organisation Framework on Tobacco Control                                  |                 |            |                        |                 |

| <b>Member organisations and collaborative engagement initiatives</b>                        |  |  |  |  |
|---|--|--|--|--|
| Dutch Association of Investors for Sustainable Development (VBDO)                           |  |  |  |  |
| Eumedion  |  |  |  |  |
| Farm Animal Investment Risk & Return (FAIRR)  |  |  |  |  |
| Follow This resolution  |  |  |  |  |
| Global Coalition on Aging (Aegon NV is a founding member)                                   |  |  |  |  |
| Global Impact Investment Network  |  |  |  |  |
| Institutional Investors Group on Climate Change   |  |  |  |  |
| <b>Responsible business and investing guidelines</b>  |  |  |  |  |
| Declaration of Helsinki   |  |  |  |  |
| Dutch Stewardship Code  |  |  |  |  |
| Five Freedoms of Animal Welfare   |  |  |  |  |
| High Conservation Value Approach  |  |  |  |  |
| International Labour Organisation Standards   |  |  |  |  |
| OECD Guidelines for Multinational Enterprises   |  |  |  |  |
| Tripartite Declaration of Principles Concerning Multinational Enterprises and Social Policy |  |  |  |  |
| United Nations Environment Program Finance Initiative Principles for Sustainable Insurance  |  |  |  |  |
| United Nations Guiding Principles of Business and Humans Rights                             |  |  |  |  |
| United Nations Global Compact Principles  |  |  |  |  |
| United Nations Principles for Responsible Investment  |  |  |  |  |
| United Nations Sustainable Development Goals  |  |  |  |  |
| <b>Reporting frameworks and guidelines</b>  |  |  |  |  |
| Global Reporting Initiative (GRI)   |  |  |  |  |
| Greenhouse Gas Protocol   |  |  |  |  |
| International Integrated Reporting Council (IIRC)   |  |  |  |  |
| Science Based Targets   |  |  |  |  |
| Taskforce for Climate-related Financial Disclosures (TCFD)                                  |  |  |  |  |
| <b>Signed covenants and investor statements</b>   |  |  |  |  |
| Access to Medicine Investor Statement   |  |  |  |  |
| Dutch Climate Agreement (Klimaatakkoord) – financial sector commitment                      |  |  |  |  |
| IMVO Covenant   |  |  |  |  |
| Paris Pledge for Action   |  |  |  |  |
| Spitsbergen Ambition  |  |  |  |  |
| <b>Other</b>  |  |  |  |  |
| IUCN Red List of Threatened Species   |  |  |  |  |